



FORM PTO-1449

INFORMATION DISCLOSURE CITATION

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09/766,277Applicant:
Robert K. SamsonFiling Date:
January 19, 2001Group Art Unit:
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U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Name	Class	Sub-Class	Filing Date
	5,053,758	10/01/91	Cornett et al.	340	712	02/01/90
	5,148,365	09/15/92	Dembo	364	402	08/15/89
	5,220,500	06/15/93	Baird et al.	364	408	09/19/89
	5,321,833	06/14/94	Chang et al.	395	600	08/29/90
	5,784,696	07/21/98	Melnikoff	705	36	06/06/95
	5,794,178	08/11/98	Caid et al.	704	9	04/12/96
	5,799,298	08/25/98	Bingham et al.	707	1	05/27/97
	5,819,238	10/06/98	Fernholz	705	36	12/13/96
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	5,918,217	06/29/99	Maggioncalda et al.	705	36	12/10/97
	5,999,918	12/07/99	Williams et al.	705	36	04/02/97
	6,021,397	02/01/00	Jones et al.	705	36	12/02/97
	6,029,195	02/22/00	Herz et al.	709	219	12/05/97

FOREIGN PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Country	Class	Sub-Class	Translation
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
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						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No

OTHER DOCUMENTS (continued)

	Fabozzi, Frank J. and Fabozzi, T. Dessa. "The Handbook of Fixed Income Securities", Fourth Addition, 1995, pp. 90-96
	"Financial Engines" (http://www.financialengines.com), download date; March 28, 2001.
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	Lewis, Alan L. et al. "The Ibbotson-Sinquefeld Simulation Made Easy." Journal of Business, Vol. 53 (1980), pp. 205-214
	Rominger, Terry. "Investment Basics, Part I: Duration." CNBS Market Cast, May 1999, pp. 1-2
	Rominger, Terry. "Investment Basics, Part II: Modified Duration." CNBS Market Cast, June 1999, pp. 3

Examiner /Daniel Felten/

Date Considered 09/20/2012

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609.
Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to Applicant.